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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/748,889	12/27/2000	Frederick W. Ryan JR.	F-212	5705
919	7590	07/18/2005	EXAMINER SHERR, CRISTINA O	
PITNEY BOWES INC. 35 WATERVIEW DRIVE P.O. BOX 3000 MSC 26-22 SHELTON, CT 06484-8000			ART UNIT 3621	PAPER NUMBER

DATE MAILED: 07/18/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)	
	09/748,889	RYAN ET AL.	
	Examiner	Art Unit	
	Cristina Owen Sherr	3621	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 25 March 2005.
- 2a) ☐ This action is FINAL.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-31 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-31 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>05/02/05</u> | 6) <input type="checkbox"/> Other: _____  |

Application/Control Number: 09/748,889  
Art Unit: 3621

Page 2

**DETAILED ACTION**

Art Unit: 3621

1. This communication is in response to the applicant's amendment filed March 25, 2005. Claims 1, 2, 9, 12, 15, 16, 23, 25, and 27 have been amended. Claims 1-31 are currently pending in this case.

***Information Disclosure Statement***

2. The information disclosure statement (IDS) submitted on May 2, 2005 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the examiner is considering the information disclosure statement.

***Response to Arguments***

3. Applicant's arguments with respect to claims 1-31 have been considered but are moot in view of the new ground(s) of rejection.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 1-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kara (US 6,297,891) in view of Ulvr et al (US 6,398,106).

6. Regarding claim 1 –

Kara discloses a mail piece verification system for processing a mail piece in a path of travel, the mail piece having associated therewith mail piece data, the system comprising: an incoming mail processing center for receiving the mail piece and obtaining the mail piece data at an outgoing mail processing center located downstream

Art Unit: 3621

in the path of travel from the incoming mail processing center, the outgoing mail processing center including a plurality of mail processing machines that perform automated processing of mail pieces; and a data center in operative communication with the incoming mail processing center and the outgoing mail processing center; and wherein: the incoming mail processing center uploads the mail piece data to the data center; the data center performs a verification check on the mail piece data and downloads instructions based upon the verification check to the outgoing mail processing center; and the outgoing mail processing center uses the instructions to control operation of at least one of the mail processing machines located at the outgoing mail processing center to process the mail piece (e.g. col 2 ln 21 – col 3 ln35).

Kara does not disclose, but Ulvr does, an incoming mail processing center including a plurality of mail processing machines that perform automated processing of mail pieces (e.g. col 2 ln30 – col 3 ln40).

7. Regarding claim 2-

Kara discloses a system claim 1 wherein the incoming mail processing center performs a preliminary check on the mail piece data that is different from the verification check performed by the data center; and the verification check includes cryptographic calculations to determine whether or not the mail piece data is valid (e.g. col 3 ln 30-45).

8. Regarding claim 2-

Kara discloses a system wherein the preliminary check includes a check to confirm that the mail piece data includes at least one of the following: (i) recognition of a valid meter serial number; (ii) a posting date within an acceptable range; and (iii) a valid recipient

Art Unit: 3621

address; and the verification check further includes a duplicate detection analysis to determine whether or not the mail piece data has been fraudulently copied (e.g. col 3 In40-55).

9. Regarding claim 4 –

Kara discloses a system, wherein the system uses the mail piece data to determine a delivery route for the mail piece; the outgoing mail processing center represents a particular one of a plurality of outgoing mail processing centers that corresponds to the delivery route; and the data center limits the download of the instructions to the particular one of the plurality of outgoing mail processing centers (e.g. col 4 In 1-20).

10. Regarding claims 5-14 –

Kara discloses a system wherein the system uses the mail piece data to determine a service class for the mail piece; and the system uses the service class to establish a priority for the upload of mail piece data from the incoming mail processing center to the data center and the download of instructions from the data center to the outgoing mail processing center; the system assigns a global identification number to the mail piece that is used by the system to access the mail piece data and the instructions associated with the mail piece; the mail piece is of a physical type; and the mail piece data includes a postal indicium; the system uses the mail piece data to determine a delivery route for the mail piece; the outgoing mail processing center represents a particular one of a plurality of outgoing mail processing centers that corresponds to the delivery route; and the data center limits the download of the instructions to the particular one of the plurality of outgoing mail processing centers; wherein the system uses the mail piece

Art Unit: 3621

data to determine a service class for the mail piece; and the system uses the service class to establish a priority for the upload of mail piece data from the incoming mail processing center to the data center and the download of instructions from the data center to the outgoing mail processing center; the incoming mail processing center performs a preliminary check on the mail piece data that is different from the verification check performed by the data processing center; and the verification check includes cryptographic calculations to determine whether or not the mail piece data is valid; the preliminary check includes a check to confirm that the mail piece data includes at least one of the following: (i) recognition of a valid meter serial number; (ii) a posting date within an acceptable range; and (iii) a valid recipient address; and the verification check further includes a duplicate detection analysis to determine whether or not the mail piece data has been fraudulently copied; the system uses the mail piece data to determine a service class for the mail piece; and the system uses the service class to establish a priority for the upload of mail piece data from the incoming mail processing center to the data center and the download of instructions from the data center to the outgoing mail processing center; the incoming mail processing center performs a preliminary check on the mail piece data that is different from the verification check performed by the data center; and the verification check includes cryptographic calculations to determine whether or not the mail piece data is valid; the system uses the mail piece data to determine a delivery route for the mail piece; the outgoing mail processing center represents a particular one of a plurality of outgoing mail processing centers that corresponds to the delivery route; and the data center limits the download

Art Unit: 3621

of the instructions to the particular one of, the plurality of outgoing mail processing centers; the preliminary check includes a check to confirm that the mail piece data includes at least one of the following: (i) recognition of a valid meter serial number; (ii) a posting date within an acceptable range; and (iii) a valid recipient address; and the verification check further includes a duplicate detection analysis to determine whether or not the mail piece data has been fraudulently copied (e.g. col 3 ln 5-35).

11. It would be obvious to one of ordinary skill in the art to combine the teachings of Kara and Ulvr in order to obtain greater security in the processing of mail.

12. Claims 14-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kara (US 6,297,891) in view of Ulvr et al (US 6,398,106).

13. Regarding claim 15 –

Kara discloses a method of operating a mail piece verification system to process a mail piece, the method comprising the steps) of: obtaining mail piece data associated with a mail piece using at least one of a plurality of mail processing machines that perform automated processing of mail pieces, the plurality of mail processing machines being located at an incoming mail processing center; uploading the mail piece data to a data center; performing a verification check on the mail piece data; downloading instructions based upon the verification check to an outgoing mail processing center located downstream in a path of travel from the incoming mail processing center the outgoing mail processing center including a plurality of mail processing machines that perform automated processing of mail pieces; and using the instructions to control operation of



Art Unit: 3621

at least one of the plurality of mail processing machines at the outgoing mail processing center to process the mail piece (e.g. col 2 ln 21 – col 3 ln35).

Kara does not disclose, but Ulvr does, an incoming mail processing center including a plurality of mail processing machines that perform automated processing of mail pieces (e.g. col 2 ln30 – col 3 ln40).

14. Regarding claims 16-19 –

Kara discloses a method comprising the steps) of performing a preliminary check on the mail piece data at the incoming mail processing center that is different from the verification checks performed by the data center; and using cryptographic calculations during the verification check to determine whether or not the mail piece data is valid; using the mail piece data to determine a delivery route for the mail piece; and limiting the download of the instructions to a particular one of a plurality of outgoing mail processing centers that corresponds to the delivery route; using the mail piece data to determine a service class for the mail piece; and using the service class to establish a priority for the upload of mail piece data from the incoming mail processing center to the data center and the download of instructions from the data center to the outgoing mail processing center; assigning a global identification number to the mail piece; and using the global identification number to access the mail piece data and the instructions associated with the mail piece; and wherein the mail piece is of a physical type; and the mail piece data includes a postal indicium (e.g. col 3 ln 25-40).

15. Regarding claims 20-23 –

Art Unit: 3621

Kara discloses a method comprising the steps of within the preliminary check step, checking to confirm that the mail piece data includes at least one of the following: (i) recognition of a valid meter serial number; (ii) a posting date within an acceptable range; and (iii) a valid recipient address; and within the verification check step, performing a duplicate detection analysis to determine whether or not the mail piece data has been fraudulently copied; using the mail piece data to determine a delivery route for the mail piece; and limiting the download of the instructions to a particular one of a plurality of outgoing mail processing centers that corresponds to the delivery route; using the mail piece data to determine a service class for the mail piece; and using the service class to establish a priority for the upload of mail piece data from the incoming mail processing center to the data center and the download of instructions from the data center to the outgoing mail processing center; performing a preliminary check on the mail piece data at the incoming mail processing center that is different from the verification check performed by the data center; and using cryptographic calculations during the verification check to determine whether or not the mail piece data is valid (e.g. col 3 ln 50-65).

16. Regarding claims 24-26 –

Kara discloses a method comprising the steps of using the mail piece data to determine a service class for the mail piece; and using the service class to establish a priority for the upload of mail piece data from the incoming mail processing center to the data center and the download of instructions from the data center to the outgoing mail processing center; performing a preliminary check on the mail piece data at the

Art Unit: 3621

incoming mail processing center that is different from the verification check performed by the data center; and using cryptographic calculations during the verification check to determine whether or not the mail piece data is valid; using the mail piece data to determine a delivery route for the mail piece; and limiting the download of the instructions to a particular one of a plurality of outgoing mail processing centers that corresponds to the delivery route (e.g. col 4 ln 1-30).

17. It would be obvious to one of ordinary skill in the art to combine the teachings of Kara and Ulvr in order to obtain greater security in the processing of mail.

19. Claims 27-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kara (US 6,297,891) in view of Ulvr et al (US 6,398,106).

20. Regarding claim 27 –

Kara discloses a method of operating a data center for processing mail piece data associated with a mail piece, the method comprising the steps of receiving the mail piece data from a remotely located incoming mail processing center the mail piece data being obtained by at least one of a plurality of mail processing machines that perform automated processing of mail pieces located at the incoming mail processing center; performing a verification check on the mail piece data; said downloading instructions for processing the mail piece, the instructions being based upon the verification check, to an outgoing mail processing center located downstream in a path of travel from the incoming mail processing center, the outgoing mail processing center including a plurality of mail processing machines that perform automated processing of mail pieces; and using the instructions to control operation of at least one of the plurality of mail

Art Unit: 3621

processing machines located at the outgoing mail processing center to process the mail piece (e.g. col 2 ln 21 – col 3 ln35).

Kara does not disclose, but Ulvr does, an incoming mail processing center including a plurality of mail processing machines that perform automated processing of mail pieces (e.g. col 2 ln30 – col 3 ln40).

21. Regarding claims 28-29 –

Kara discloses a method comprising the steps of using cryptographic calculations during the verification check to determine whether or not the mail piece data is valid; using the mail piece data to determine a delivery route for the mail piece; and limiting the download of the instructions to a particular one of a plurality of outgoing mail processing centers that corresponds to the delivery route (e.g. col 3 ln 50-65).

22. Regarding claims 30-31 –

Kara discloses a method comprising the steps of using the mail piece data to determine a service class for the mail piece; and using the service class to establish a priority for the download of instructions from the data center to the outgoing mail processing center; further comprising the steps) of: associating the instructions with a global identification number, and downloading the instructions with the global identification number; and wherein the mail piece is of a physical type; and the mail piece data includes a postal indicium (e.g. col 4 ln 1-30).

23. It would be obvious to one of ordinary skill in the art to combine the teachings of Kara and Ulvr in order to obtain greater security in the processing of mail.

Art Unit: 3621

24. Examiner's note: Examiner has cited particular columns and line numbers in the references as applied to the claims above for the convenience of the applicant.

Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may be applied as well. It is respectfully requested from the applicant, in preparing the responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention as well as the context of the passage as taught by the prior art or disclosed by the examiner.

#### ***Conclusion***

25. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cristina Owen Sherr whose telephone number is 571-272-6711. The examiner can normally be reached on 8:30-5:00 Monday through Friday.

26. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trammell can be reached on 571-272-6712. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 3621

27. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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*Sumner D. Lee*  
Primary Examiner